

FORM NO. MGT 9
EXTRACT OF ANNUAL RETURN
as on financial year ended on 31.03.2019
Pursuant to Section 92 (3) of the Companies Act, 2013 and Rule 12(1) of the Company (Management & Administration) Rules, 2014

I REGISTRATION & OTHER DETAILS:

i	CIN	L36911MH1991PLC063357
ii	Registration Date	25-09-1991
iii	Name of the Company	NEOGEM INDIA LIMITED
iv	Category/Sub-category of the Company	COMPANY LIMITED BY SHARES
v	Address of the Registered office & contact details	G-32 GEMS & JEWELLERY COMPLEX III, 2ND FLOOR, SEEPZ, ANDHERI EAST, MUMBAI-400096 Tel./Fax : (91-22) 2829 1123
vi	Whether listed company	YES
vii	Name , Address & contact details of the Registrar & Transfer Agent, if any.	M/S. LINK INTIME INDIA PRIVATE LIMITED C-13, PANNALAL SILK MILLS COMPOUND, LAL BAHADUR SHASTRI MARG, BHANDUP, MUMBAI - 400 078 Tel. : 2596 3838 Fax : 4918 6060

II PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

All the business activities contributing 10% or more of the total turnover of the company shall be stated

Sr. No.	Name & Description of main products/services	NIC Code of the Product /service	% to total turnover of the company
1	Manufacture of jewellery of gold, silver and other precious or base metal metal clad with precious metals or precious or semi-precious stones, or of combinations of precious metal and precious or semi-precious stones or of other materials	32111	100

III PARTICULARS OF HOLDING , SUBSIDIARY & ASSOCIATE COMPANIES

Sr. No.	Name & Address of the Company	CIN/GLN	HOLDING/ SUBSIDIARY/ ASSOCIATE	% OF SHARES HELD	APPLICABLE SECTION
N.A					

Category of Shareholders	Shareholding at the beginning of the year (01.04.2018)				Shareholding at the end of the year (31.03.2019)				% Change during the year
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
A. Promoters									
(1) Indian									
a) Individual/HUF	3431550	0	3431550	41.99	3431550	0	3431550	41.99	0.00
b) Central Govt.or State Govt.	0	0	0	0	0	0	0	0	0.00
c) Bodies Corporates	0	0	0	0	0	0	0	0	0.00
d) Bank/FI	0	0	0	0	0	0	0	0	0.00
e) Any other	0	0	0	0	0	0	0	0	0.00
SUB TOTAL:(A) (1)	3431550	0.00	3431550	41.99	3431550	0.00	3431550	41.99	0.00
(2) Foreign									
a) NRI- Individuals	0	0	0	0	0	0	0	0	0.00
b) Other Individuals	0	0	0	0	0	0	0	0	0.00
c) Bodies Corp.	0	0	0	0	0	0	0	0	0.00
d) Banks/FI	0	0	0	0	0	0	0	0	0.00
e) Any other...	0	0	0	0	0	0	0	0	0.00
SUB TOTAL (A) (2)	0	0	0	0	0	0	0	0	0
Total Shareholding of Promoter (A)= (A)(1)+(A)(2)	3431550	0	3431550	41.99	3431550	0	3431550	41.99	0
B. PUBLIC SHAREHOLDING									
(1) Institutions									
a) Mutual Funds	0	0	0	0	0	0	0	0	0.00
b) Banks/FI	0	0	0	0	0	0	0	0	0.00
c) Central govt	0	0	0	0	0	0	0	0	0.00
d) State Govt.	0	0	0	0	0	0	0	0	0.00
e) Venture Capital Fund	0	0	0	0	0	0	0	0	0.00
f) Insurance Companies	0	0	0	0	0	0	0	0	0.00
g) FIIS	0	0	0	0	0	0	0	0	0.00
h) Foreign Venture Capital Funds	0	0	0	0	0	0	0	0	0.00
i) Others (specify)	0	0	0	0	0	0	0	0	0.00
SUB TOTAL (B)(1):	0	0	0	0	0	0	0	0	0.00
(2) Non Institutions									
a) Bodies corporates	517723	71600	589323	7.21	338188	71600	409788	5.01	-2.20
i) Indian	0	0	0	0	0	0	0	0	0
ii) Overseas	0	0	0	0	0	0	0	0	0
b) Individuals									
i) Individual shareholders holding nominal share capital upto Rs.1 lakhs	808307	849250	1657557	20.28	837117	828750	1665867	20.39	0.11
ii) Individuals shareholders holding nominal share capital in excess of Rs. 1 lakhs	2202811	50800	2253611	27.58	2472110	50800	2522910	30.87	3.28
c) Others (specify)									
Hindu Undivided Family	78861	0	78861	0.97	78861	0	78861	0.97	0.00
Non Resident Indians (Non Repat)	1600	0	1600	0.02	1600	0	1600	0.02	0.00
Non Resident Indians (Repat)	1600	46800	48400	0.59	1600	46800	48400	0.59	0.00
Clearing Member	111098	0	111098	1.36	13024	0	13024	0.16	-1.20
SUB TOTAL (B)(2):	3722000	1018450	4740450	58.01	3742500	997950	4740450	58.01	0.00
Total Public Shareholding (B)= (B)(1)+(B)(2)	3722000	1018450	4740450	58.01	3742500	997950	4740450	58.01	0.00
C. Shares held by Custodian for GDRs & ADRs	0	0	0	0	0	0	0	0	0
Grand Total (A+B+C)	7153550	1018450	8172000	100.00	7174050	997950	8172000	100.00	0.00

(ii) SHARE HOLDING OF PROMOTERS

Sr. No.	Shareholders' Name	Shareholding at the beginning of the year (01.04.2018)			Shareholding at the end of the year (31.03.2019)			% change in shareholding during the year
		No. of shares	% of total shares of the company	% of shares pledged encumbered to total shares	No. of shares	% of total shares of the company	% of shares pledged encumbered to total shares	
1	Gaurav Mahindra Doshi	870800	10.66	0	870800	10.66	0	0.0000
2	Rekha Mahindra Doshi	1089950	13.34	0	1089950	13.34	0	0.0000
3	Reshma Ronak Doshi	300000	3.67	0	300000	3.67	0	0.0000
4	Ronak Mahindra Doshi	870800	10.66	0	870800	10.66	0	0.0000
5	Vaitasi Gaurav Doshi	300000	3.67	0	300000	3.67	0	0.0000
	Total	3431550	41.99	0	3431550	41.99	0	0.0000

(iii) CHANGE IN PROMOTERS' SHAREHOLDING (SPECIFY IF THERE IS NO CHANGE)

Sr. No.	Particulars	Shareholding at the beginning of the Year		Date	Increase/Decrease in no. of shares	Reason	Cumulative Shareholding during the year	
		No. of Shares	% of total shares of the company				No. of shares	% of total shares of the company
1. Gaurav M. Doshi								
	At the beginning of the year	870800	10.66					
	Nil movement during the year				There has been no change			
	At the end of the year					870800	10.66	
2. Rekha M. Doshi								
	At the beginning of the year	1089950	13.34					
	Nil movement during the year				There has been no change			
	At the end of the year					1089950	13.34	
3. Reshma R. Doshi								
	At the beginning of the year	300000	3.67					
	Nil movement during the year				There has been no change			
	At the end of the year					300000	3.67	
4. Ronak M. Doshi								
	At the beginning of the year	870800	10.66					
	Nil movement during the year				There has been no change			
	At the end of the year					870800	10.66	
5. Vaitasi G. Doshi								
	At the beginning of the year	300000	3.67					
	Nil movement during the year				There has been no change			
	At the end of the year					300000	3.67	

(iv) Shareholding Pattern of top ten Shareholders (other than Directors, Promoters & Holders of GDRs & ADRs)

Sr. No.	For Each of the Top 10 Shareholders	Shareholding at the beginning of the Year		Date	Increase/Decrease in no. of shares	Reason	Cumulative Shareholding during the year	
		No. of Shares	% of total shares of the company				No. of shares	% of total shares of the company
1. Urvinderpal Attarsingh								
	At the beginning of the year -1.4.2018	800000	9.79					
	Date wise increase/decrease in Share holding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc)				There has been no change			
	At the end of the year - 31.3.2019					800000	9.79	
2. Dhyan Stock Broking Pvt. Ltd.								
	At the beginning of the year -1.4.2018	225200	2.76					
	Date wise increase/decrease in Share holding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc)				There has been no change			
	At the end of the year - 31.3.2019					225200	2.76	
3. Jignesh R Mehta								
	At the beginning of the year -1.4.2018	0	0.00					
	Date wise increase/decrease in Share holding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc)			29-Mar-19	167300	Purchase		
	At the end of the year - 31.3.2019					167300	2.05	
4. Mahesh Chaitan Khubchandani								
	At the beginning of the year -1.4.2018	128300	1.57					
	Date wise increase/decrease in Share holding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc)				There has been no change			
	At the end of the year - 31.3.2019					128300	1.57	
5. Pujja Shrenik Bagadia								
	At the beginning of the year -1.4.2018	120000	1.47					
	Date wise increase/decrease in Share holding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc)				There has been no change			
	At the end of the year - 31.3.2019					120000	1.47	
6. Darshana Shashikant Bagadia								
	At the beginning of the year -1.4.2018	120000	1.47					
	Date wise increase/decrease in Share holding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc)				There has been no change			
	At the end of the year - 31.3.2019					120000	1.47	
7. Paribhen Mohanlal Jain								
	At the beginning of the year -1.4.2018	119000	1.46					
	Date wise increase/decrease in Share holding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc)				There has been no change			
	At the end of the year - 31.3.2019					119000	1.46	
8. Jyoti Rajesh Bagadia								
	At the beginning of the year -1.4.2018	107500	1.32					
	Date wise increase/decrease in Share holding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc)				There has been no change			
	At the end of the year - 31.3.2019					107500	1.32	
9. Aadhar Ashokkumar Sigtia								
	At the beginning of the year -1.4.2018	0	0.00					
	Date wise increase/decrease in Share holding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc)			22-Mar-19	100039	Purchase		
	At the end of the year - 31.3.2019					100039	1.22	
10. Samkhi Rakyan								
	At the beginning of the year -1.4.2018	84300	1.03					
	Date wise increase/decrease in Share holding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc)				There has been no change			
	At the end of the year - 31.3.2019					84300	1.03	

(v) Shareholding of Directors & KMP

Sr. No.	For Each of the Directors & KMP	Shareholding at the beginning of the		Date	Increase/Decrease in no.	Reason	Cumulative Share holding	
		No. of Shares	% of total shares of				No. of shares	% of total shares
1. Gaurav Mahindra Doshi								
	At the beginning of the year	870800	10.66					
	Nil movement during the year				There has been no change			
	At the end of the year					870800	10.66	
2. Ronak Mahindra Doshi								
	At the beginning of the year	870800	10.66					
	Nil movement during the year				There has been no change			
	At the end of the year					870800	10.66	

V INDEBTEDNESS

(In Rs.)

Indebtedness of the Company including interest outstanding/accrued but not due for payment				
	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness
Indebtness at the beginning of the financial year				
i) Principal Amount	17,92,75,760	0	0	17,92,75,760
ii) Interest due but not paid	0	0	0	0
iii) Interest accrued but not due	0	0	0	0
Total (i+ii+iii)	17,92,75,760	0	0	17,92,75,760
Change in Indebtedness during the financial year				
Additions	0	0	0	0
Reduction	0	0	0	0
Net Change	0	0	0	0
Indebtedness at the end of the financial year				
i) Principal Amount	17,92,75,760	0	0	17,92,75,760
ii) Interest due but not paid	0	0	0	0
iii) Interest accrued but not due	0	0	0	0
Total (i+ii+iii)	17,92,75,760	0	0	17,92,75,760

VI REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

A. Remuneration to Managing Director, Whole time director and/or Manager:

Sr.No	Particulars of Remuneration	Name of the MD/WTD/Manager		Total Amount
		Mr. Gaurav M. Doshi - MD	Mr. Ronak M. Doshi (WTD-cum-CFO)	
1	Gross salary			
	(a) Salary as per provisions contained in section 17(1) of the Income Tax, 1961.	0	0	0
	(b) Value of perquisites u/s 17(2) of the Income tax Act, 1961	0	0	0
	(c) Profits in lieu of salary under section 17(3) of the Income Tax Act, 1961	0	0	0
2	Stock option	0	0	0
3	Sweat Equity	0	0	0
4	Commission			
	as % of profit	0	0	0
	others (specify)	0	0	0
5	Others, please specify	0	0	0
	Total (A)	-	-	-
	Ceiling as per the Act	Rs.84,00,000 per managerial personnel (As per Section II, Part II of Schedule V of the Companies Act, 2013)		

B. Remuneration to other directors:

Sr.No	Particulars of Remuneration	Name of the Directors		Total Amount
1	Independent Directors	Ms. Renu Kathuria		
	(a) Fee for attending board committee meetings	0		0
	(b) Commission	0		0
	(c) Others, please specify	0		0
	Total (1)	0		0
2	Other Non Executive Directors	Not Applicable as there are no Non Executive Directors		
	(a) Fee for attending board committee meetings			
	(b) Commission			
	(c) Others, please specify.			
	Total (2)			
	Total (B)=(1+2)			
	Total Managerial Remuneration			
	Overall Ceiling as per the Act			

C. REMUNERATION TO KEY MANAGERIAL PERSONNEL OTHER THAN MD/MANAGER/WTD

Sr. No.	Particulars of Remuneration	Key Managerial Personnel			Total
		CEO	Company Secretary	CFO	
1	Gross Salary				
	(a) Salary as per provisions contained in section 17(1) of the Income Tax Act, 1961.		52500	The WTD acts as the CFO as well, his remuneration mentioned in Table A	
	(b) Value of perquisites u/s 17(2) of the Income Tax Act, 1961				
	(c) Profits in lieu of salary under section 17(3) of the Income Tax Act, 1961				
2	Stock Option				
3	Sweat Equity				
4	Commission				
	as % of profit				
	others, specify				
5	Others, please specify				
	Total		52500		

VII PENALTIES/PUNISHMENT/COMPOUNDING OF OFFENCES

Type	Section of the Companies Act	Brief Description	Details of Penalty/Punishment/Compounding fees imposed	Authority (RD/NCLT/Court)	Appeal made if any (give details)
A. COMPANY					
Penalty					
Punishment					
Compounding					
B. DIRECTORS					
Penalty					
Punishment					
Compounding					
C. OTHER OFFICERS IN DEFAULT					
Penalty					
Punishment					
Compounding					